

## Abstract

Many key aspects of control of quantum systems involve manipulating a large quantum ensemble exhibiting variation in the value of parameters characterizing the system dynamics. Developing electromagnetic pulses to produce a desired evolution in the presence of such variation is a fundamental and challenging problem in this research area. We present such robust pulse designs as an optimal control problem of a continuum of bilinear systems with a common control function. We then develop a unified computational method for optimal pulse design using ideas from pseudospectral approximations, by which a continuous-time optimal control problem of pulse design can be discretized to a constrained optimization problem with spectral accuracy. This is a highly flexible and efficient numerical method that requires low order of discretization and yields inherently smooth solutions.

## Contribution

**Challenge** To design robust, practical pulses for quantum control.

**Impacts** Immediate applicable to structural biology, molecular imaging and quantum optics.

**Universal** The pseudospectral method delivers a highly general framework for pulse design in conjunction with optimal ensemble control theory.

## New Formulation for Optimal Pulse Design

$$\begin{aligned} \min \int_{\Omega} [\varphi(T, x(T, s)) + \int_0^T \mathcal{L}(x(t, s), u(t)) dt] ds \\ \text{s.t. } \frac{d}{dt} x(t, s) = f(x(t, s), u(t), s) \\ e(x(0, s), x(T, s)) = 0 \\ g(x(t, s), u(t)) \leq 0, \quad \forall s \in \Omega \subset \mathbb{R}^d \\ t \in [0, T] \end{aligned}$$

## Multidimensional Pseudospectral Discretization

$$\begin{aligned} \min \frac{b-a}{2} \sum_{r=0}^{N_s} [\varphi(T, \bar{x}_{Nr}) + \frac{T}{2} \sum_{i=0}^N \mathcal{L}(\bar{x}_{ir}, \bar{u}_i) w_i^N] w_r^{N_s} \\ \text{s.t. } \sum_{k=0}^N D_{jk} \bar{x}_{kr} = \frac{T}{2} f(\bar{x}_{jr}, \bar{u}_j) \\ e(\bar{x}_{0r}, \bar{x}_{Nr}) = 0 \\ g(\bar{x}_{jr}, \bar{u}_j) \leq 0, \quad \forall j \in \{0, 1, \dots, N\} \\ r \in \{0, 1, \dots, N_s\} \end{aligned}$$

## References

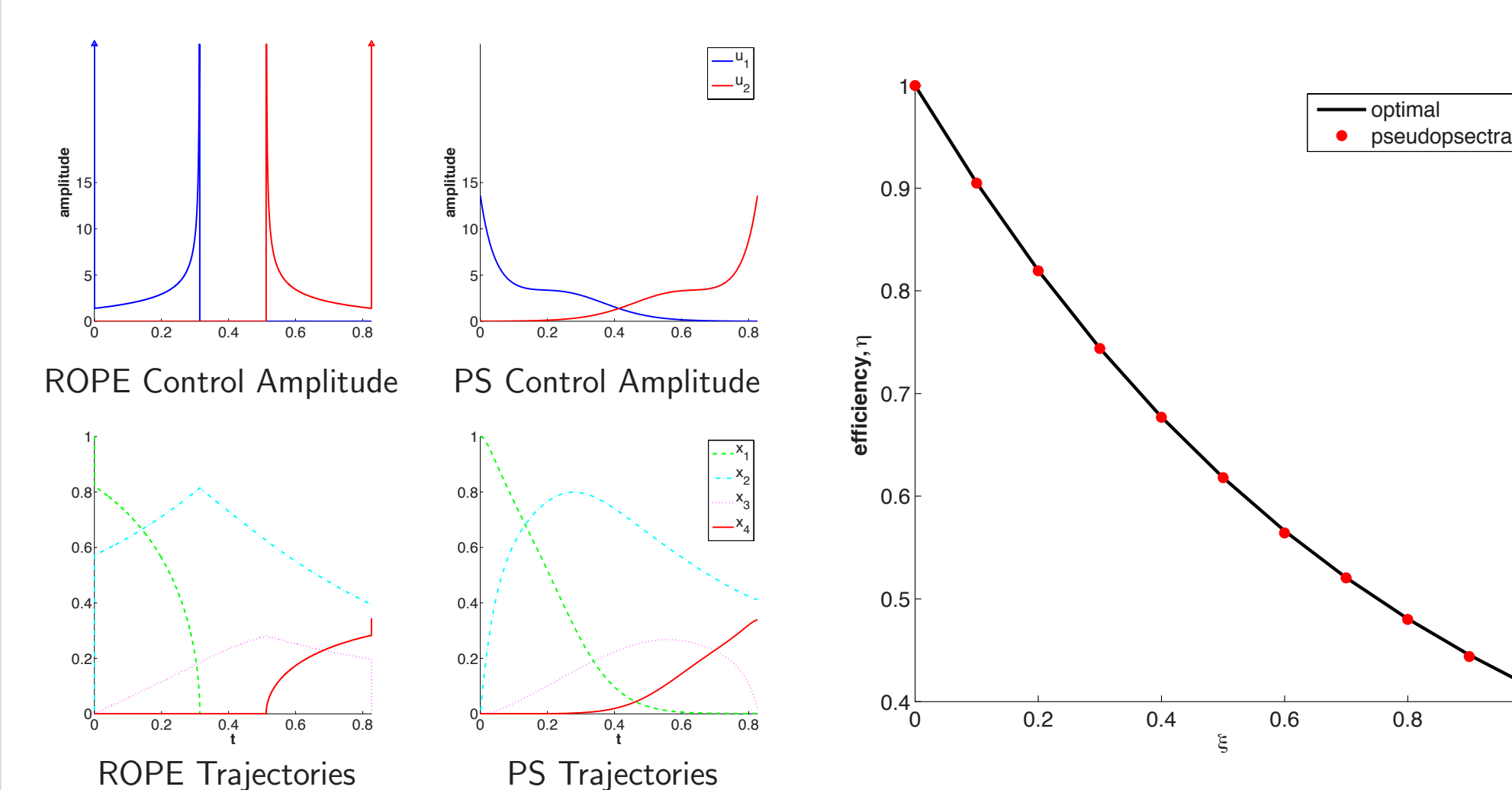
- Li J-S, Khaneja N. *Ensemble Control of Bloch Equations*. IEEE Trans Autom Control 54: 528-536 (2009).
- J.-S. Li, J. Ruths, D. Stefanatos. *A pseudospectral method for optimal control of open quantum systems*, J. Chem. Phys. 131, 164110 (2009).
- C. Canuto, M. Y. Hussaini, A. Quarteroni and T. A. Zang. *Spectral Methods* (Springer, Berlin, 2006).
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## Optimal Coherence Transfer (NMR)

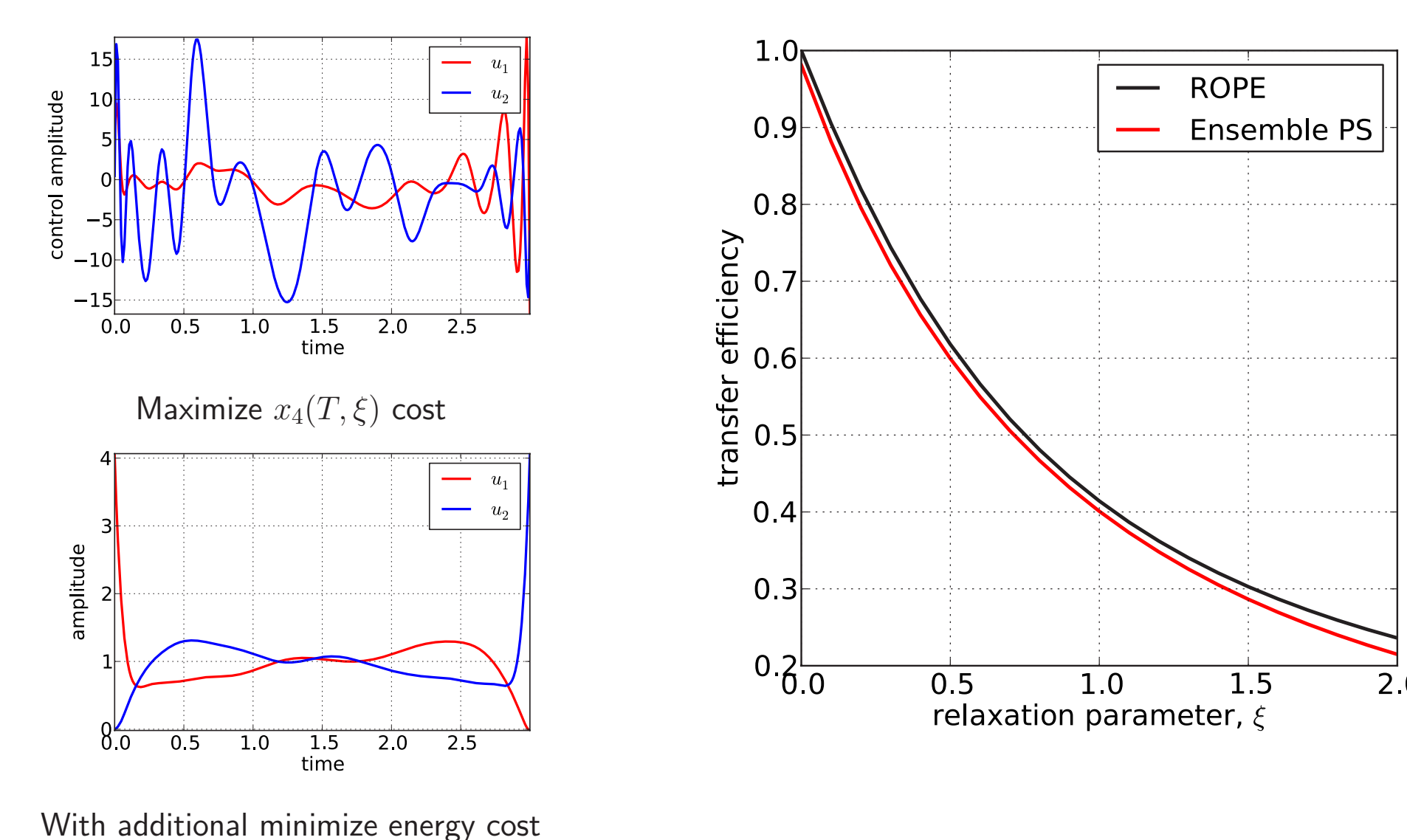
$$\begin{aligned} \max \mathcal{J}_{\text{avg}} &= \frac{1}{2\delta(\xi_2 - \xi_1)} \int_{1-\delta}^{1+\delta} \int_{\xi_1}^{\xi_2} x_4(T, \xi, J) d\xi dJ \\ \text{s.t. } \frac{d}{dt} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} &= \begin{bmatrix} 0 & -u_1 & 0 & 0 \\ u_1 & -\xi & -J & 0 \\ 0 & J & -\xi & -u_2 \\ 0 & 0 & u_2 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} \\ x(0, \xi, J) &= [1 \ 0 \ 0 \ 0]^T \\ \sqrt{u_1^2(t) + u_2^2(t)} &\leq A, \quad \forall t \in [0, T] \\ \xi \in [\xi_1, \xi_2], \quad J &\in [1 - \delta, 1 + \delta] \end{aligned}$$

- Compare against analytic optimal solution: ROPE
- ROPE is only valid for a single choice of  $(\xi, J)$
- Applications in protein NMR spectroscopy

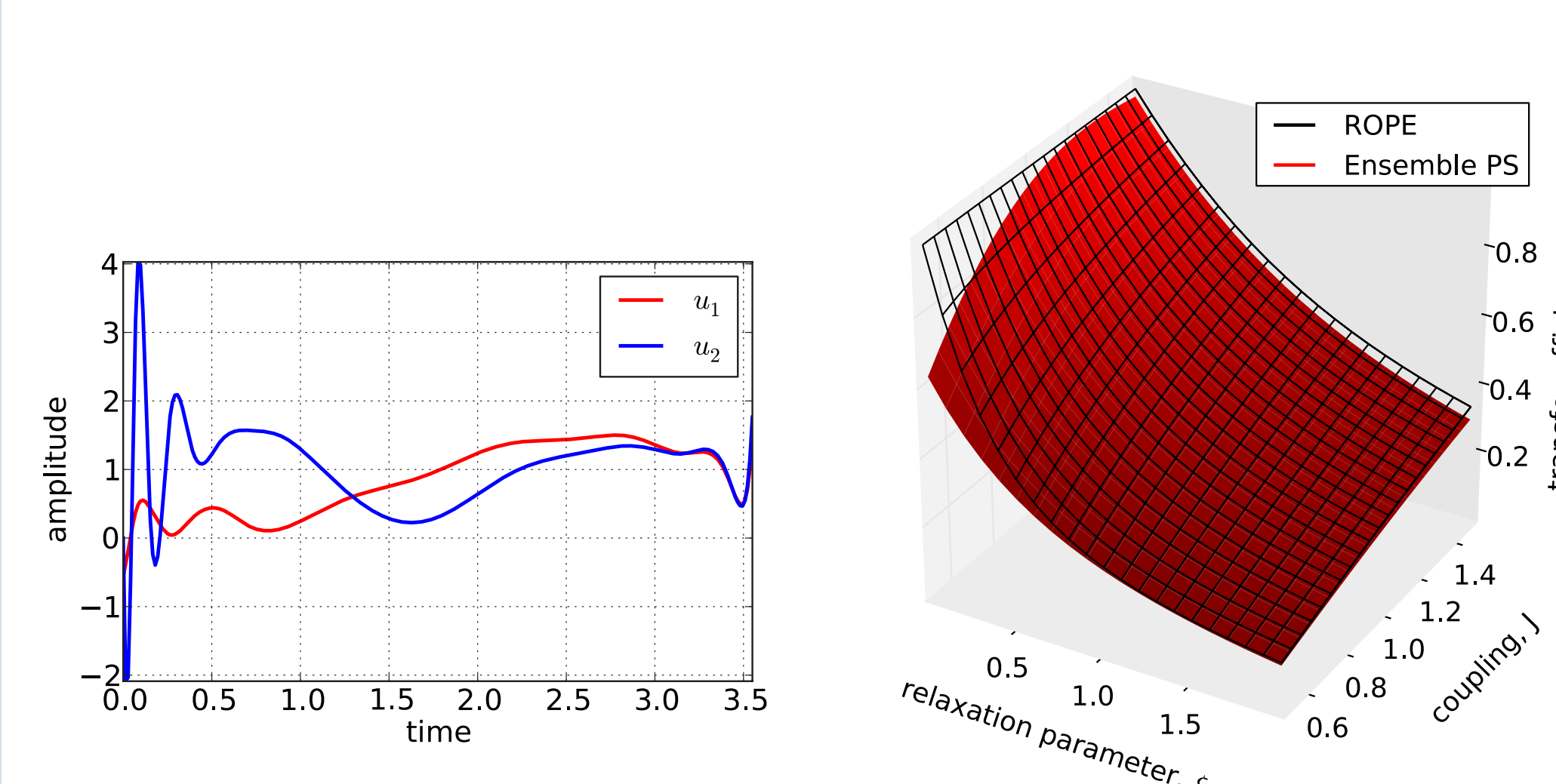
## Single Parameter Optimization



## Ensemble Optimization, $\xi \in [0, 2], J = 1$

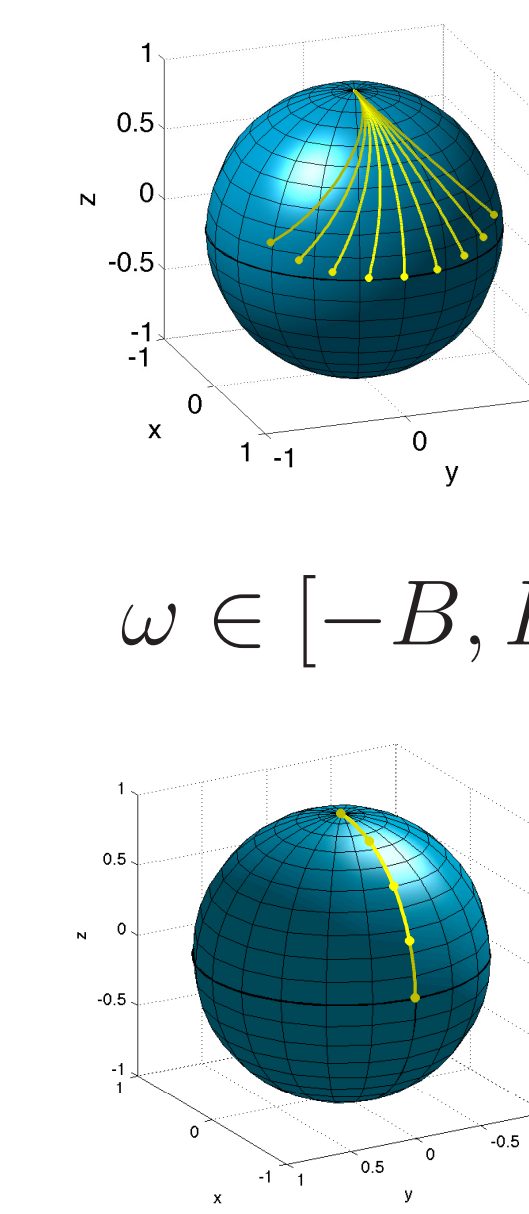


## Ensemble Optimization, $\xi \in [0, 2], J \in [0.5, 1.5]$



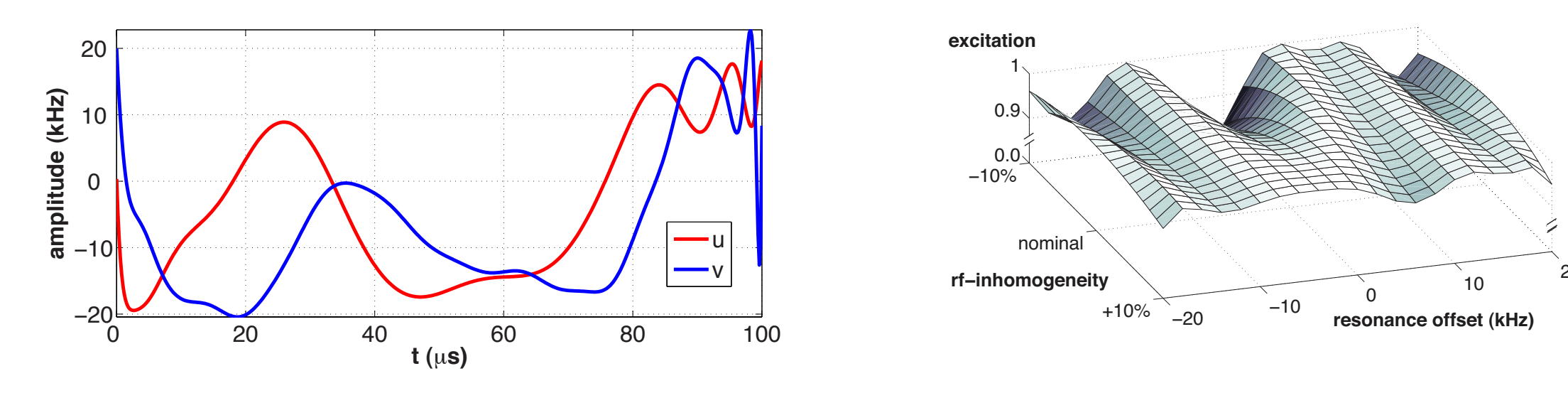
## Optimal Pulse Design (MRI & NMR)

$$\begin{aligned} \max \frac{1}{4\delta B} \int_{1-\delta}^{1+\delta} \int_{-B}^B M_x(T, \omega, \epsilon) d\omega d\epsilon \\ \text{s.t. } \frac{d}{dt} \begin{bmatrix} M_x \\ M_y \\ M_z \end{bmatrix} = \begin{bmatrix} 0 & -\omega & \epsilon u \\ \omega & 0 & -\epsilon v \\ -\epsilon u & \epsilon v & 0 \end{bmatrix} \begin{bmatrix} M_x \\ M_y \\ M_z \end{bmatrix} \\ M(0, \omega, \epsilon) = [0 \ 0 \ 1]^T \\ \sqrt{u^2(t) + v^2(t)} \leq A, \quad \forall t \in [0, T] \\ \omega \in [-B, B] \\ \epsilon \in [1 - \delta, 1 + \delta] \end{aligned}$$



## Ensemble Optimization

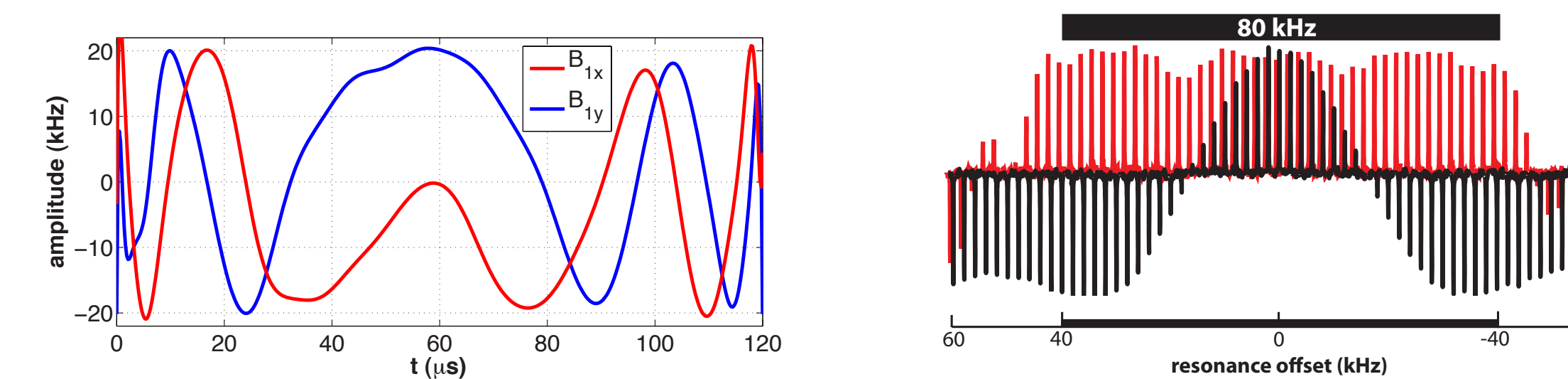
- Maximum Amplitude,  $A = 20$  kHz
- Larmor Dispersion,  $\omega \in [-20, 20]$  kHz
- RF inhomogeneity,  $\delta = 10\%$



## Ensemble Optimization & Experimental Verification

$A = 20$  kHz,  $\omega \in [-40, 40]$  kHz

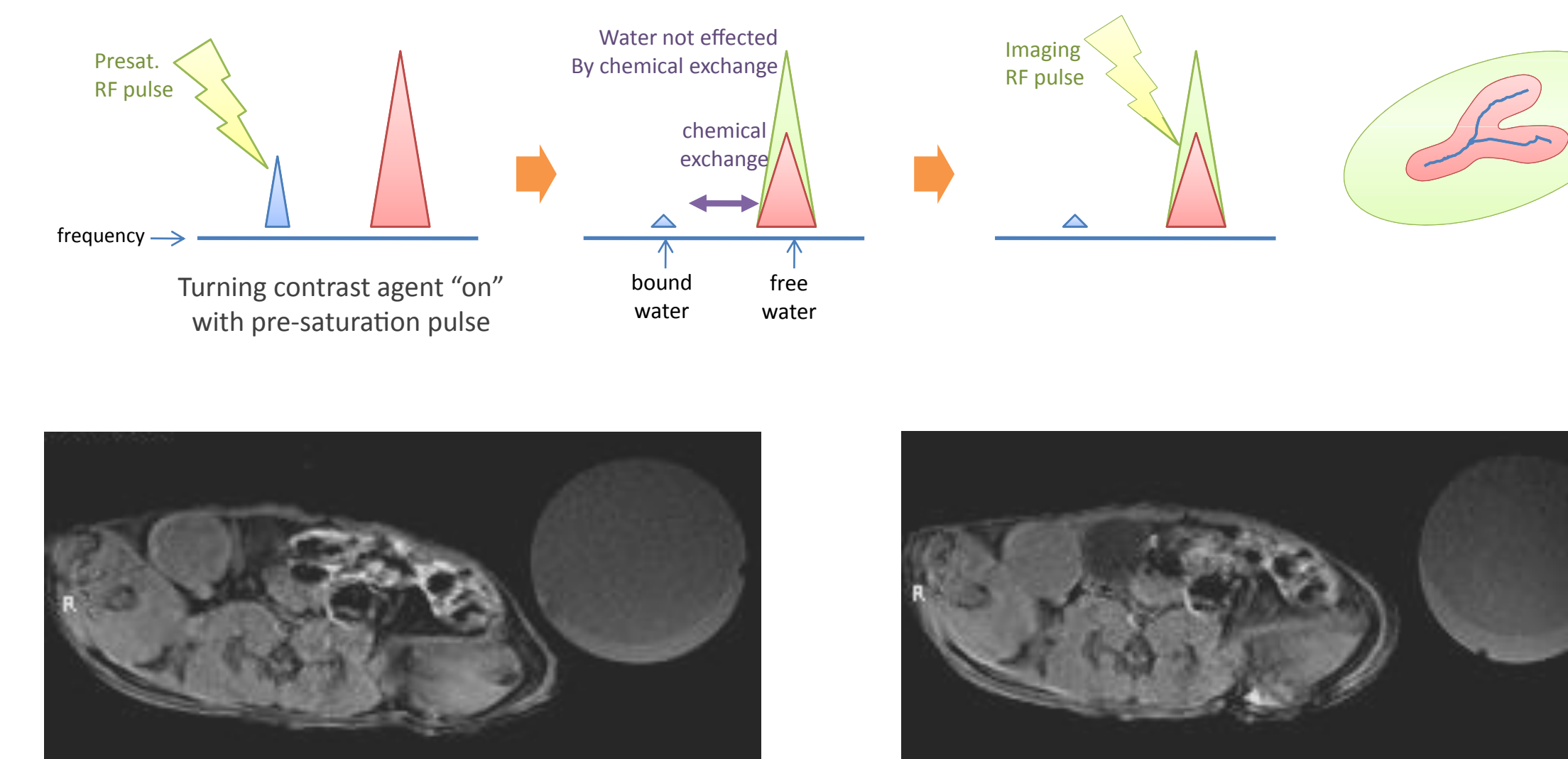
In collaboration with G. Wagner's Lab, Harvard Medical School



## PARACEST Contrast Optimization (MRI)

$$\frac{d}{dt} \begin{bmatrix} z_a \\ x_a \\ y_a \\ x_b \\ y_b \\ z_b \end{bmatrix} = \begin{bmatrix} -k_{1a} & -v & u & 0 & 0 & C_b \\ v & -k_{2a} & -\omega_a & 0 & C_b & 0 \\ -u & \omega_a & -k_{2a} & C_b & 0 & 0 \\ 0 & 0 & C_a & -k_{2b} & \omega_b & -u \\ 0 & C_a & 0 & -\omega_b & -k_{2b} & v \\ C_a & 0 & 0 & u & -v & -k_{1b} \end{bmatrix} \begin{bmatrix} z_a \\ x_a \\ y_a \\ x_b \\ y_b \\ z_b \end{bmatrix} + \begin{bmatrix} \frac{M_a^0}{T_{1a}} \\ 0 \\ 0 \\ 0 \\ 0 \\ \frac{M_b^0}{T_{1b}} \end{bmatrix}$$

- Motivates designing optimal pulses robust to rf inhomogeneity including relaxation effects



## Pseudospectral Features

### Arbitrary Cost Function & Constraints

The direct transformation of the continuous-time optimal control problem into a finite-dimensional constrained optimization enables any type or combination of cost functions and constraints.

### Smoothness

The pseudospectral method is based on polynomial approximations, which guarantees that the solutions will be smooth. Certain choices of cost functions can yield pulses with different properties, such as slowly varying pulses for practical experimental implementation.

### Convergence

Discretizing with the pseudospectral method empirically exhibits exponential convergence of the solution as the number of discretizations increases.

### Ease of Implementation

The pseudospectral method can be implemented in virtually any programming language and can be expressed very concisely within the AMPL optimization framework.

### Computational Complexity

The low order of approximation characteristic of orthogonal polynomial approximation greatly reduces the size of the optimization problem necessary to be solved.

## Future Directions

### Experimental verification

We are beginning verification of the optimal pulses for coherence transfer for systems with J coupling variation in collaboration with G. Wagner at Harvard.

### Extension to PARACEST

Modify and run the pseudospectral method to derive presaturation pulses for PARACEST imaging based on our accomplishments in relaxation optimized pulses and pulses robust to inhomogeneity.

### Convergence

Pseudospectral convergence rates remain largely at the forefront of research. Quantification and proven results are only available for certain classes of systems.